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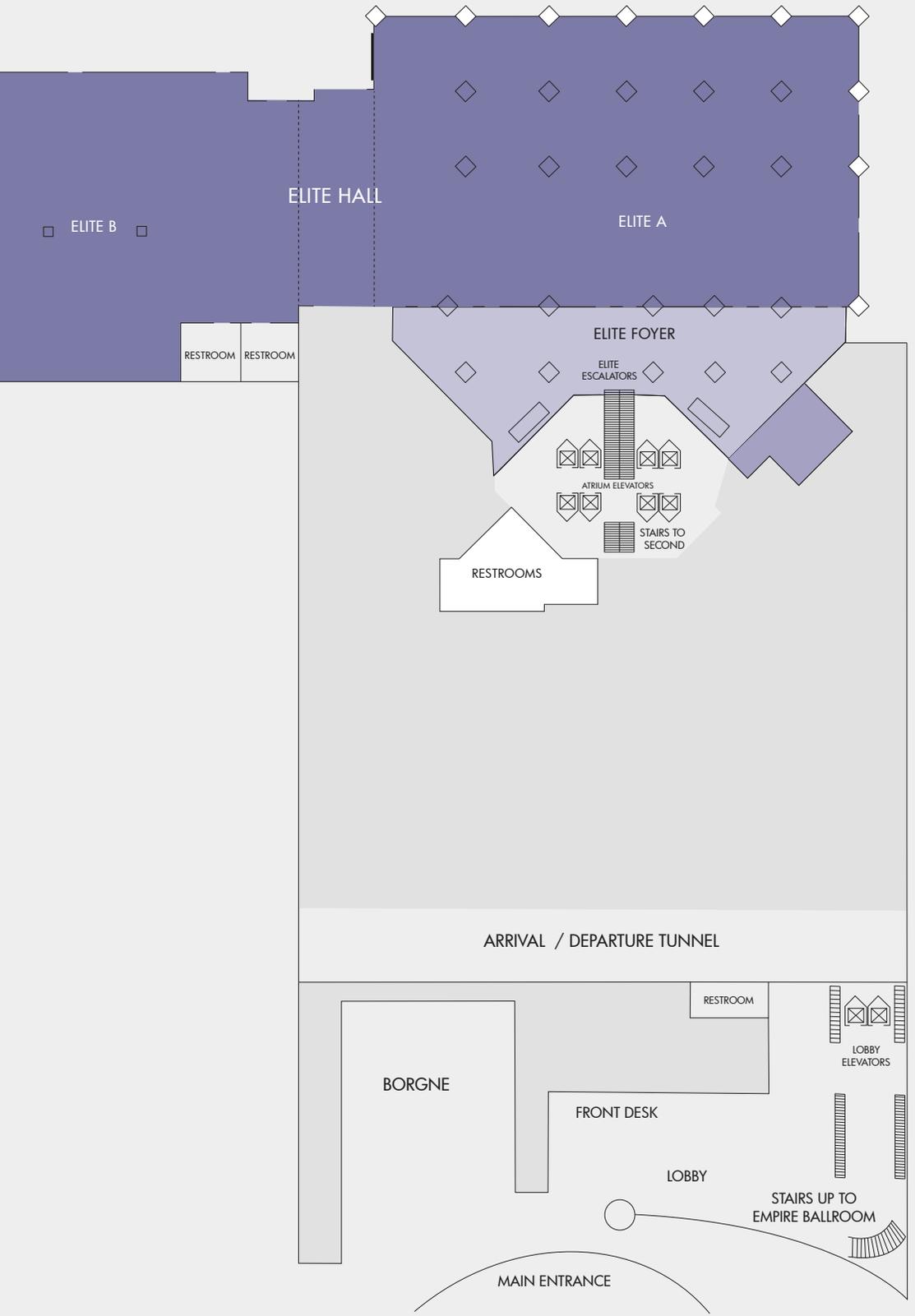
NAGDCA ANNUAL CONFERENCE

September 8-11, 2019 | New Orleans

AGENDA

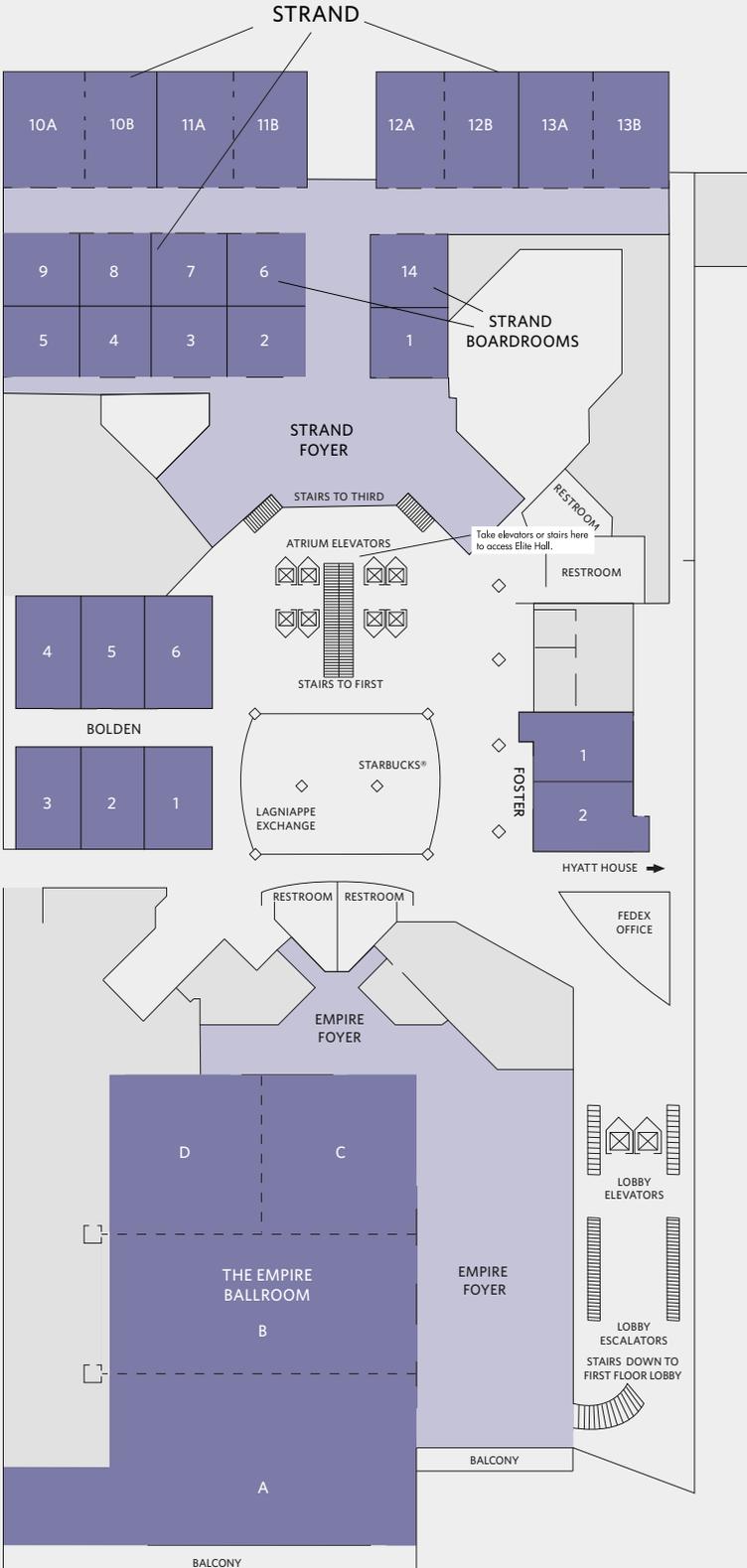


LEVEL ONE



LEVEL TWO

HYATT REGENCY NEW ORLEANS



BALCONY

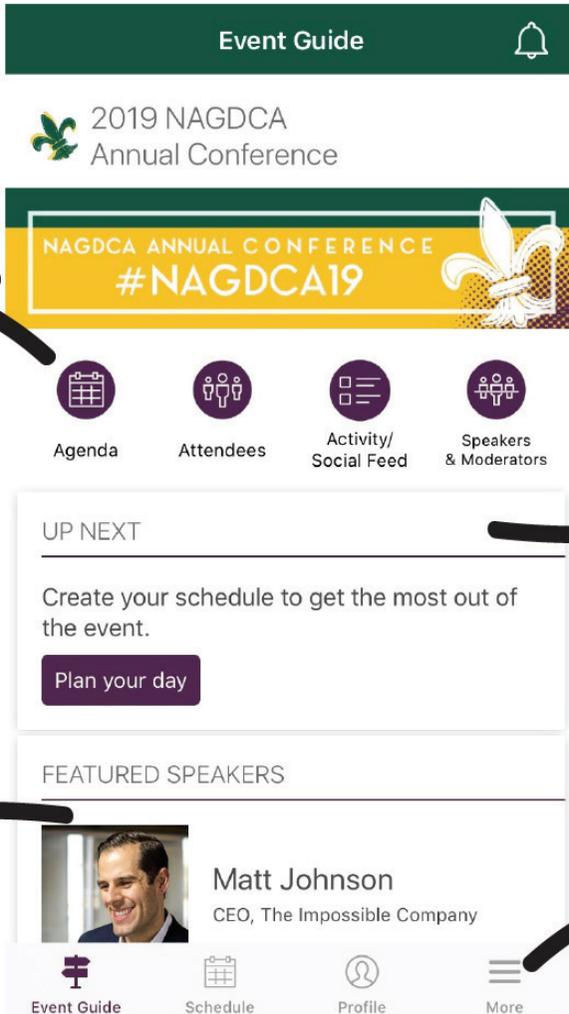
THERE'S AN APP FOR THAT!

Connect, collaborate and engage with the NAGDCA Annual Conference app! The app provides quick access to important event information, and includes essential features designed to enhance your conference experience.

1. Search for NAGDCA Events in the App Store.
2. When downloaded, open 2019 NAGDCA Annual Conference and enter your first and last name.
3. You will receive an email with a verification code, enter that code to log in. (Codes are sent to the email address provided during registration. If you cannot access your code, please visit the information desk.)
4. Be sure to complete your profile and switch it to public to connect with your fellow attendees!

Use the quick access menu items to:

- view the agenda and sessions
- learn about and message fellow attendees
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- learn about your speakers and moderators



Check out what's happening now and what's up next on your personalized schedule.

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Scroll to view featured speakers and sessions; updated daily.



AGENDA

September 8-11

Hyatt Regency New Orleans

Conference agenda is subject to change.

All activities held on Level 2 of the Hyatt Regency New Orleans unless otherwise noted.

SUNDAY, SEPTEMBER 8

9:00 a.m. – 11:00 a.m.
Strand 10

INFRE PRE-CONFERENCE WORKSHOP Managing Retirement Income: What your Employees, Family, Friends and Self Need to Know

Instructor: Kevin Seibert, CFP®, CEBS®, CRC®,
Managing Director, Foundation for
Retirement Education (InfRE)

Many of your employees are part of the 78 million baby boomers quickly approaching retirement. Will they be able to make informed decisions about when to retire and how to manage, protect and distribute the savings they have in your employer-sponsored retirement plan? Join us for this professional retirement income management education course attended by over 10,000 professionals nationwide that will help you understand why and how you need to help your pre-retirees transition from accumulating assets to one of the most important skills they will ever need to know: how to make their retirement savings last a lifetime.

In this session you will learn how to:

- Match types of monthly income with types of monthly expenses.
- Manage retirement savings so they are protected from retirement risks.
- Minimize taxes when taking distributions.
- Evaluate and prioritize options for closing retirement income gaps.
- Improve the length of time retirement savings can pay income.

9:00 a.m. – 12:00 p.m.
Strand 7

NAGDCA Board Meeting

11:00 a.m. – 6:00 p.m.
Empire Foyer

Registration Open

1:00 p.m. – 2:00 p.m.
Empire Ballroom C

Intro to DC Plans

Moderator: Matt Petersen, NAGDCA

Panelists: Meghan Jacobson, J.P. Morgan
Tom Mueller, Sanitation Districts of Los Angeles County - Retired

Learn about the primary concepts related to achieving successful outcomes in a defined contribution plan. This session may be particularly beneficial to government or industry professionals new to working with DC plans, or seasoned professionals interested in a fresh take on the building blocks of expanding participant success.

2:30 p.m. – 3:30 p.m.
Empire Ballroom C

Board/Committee Membership, Governance & Best Practices

Moderator: Rob Boehmer, State of Nevada

Panelists: Gay Lynn Bath, University of Oregon
Mike Golojuch, City and County of Honolulu
Julian Regan, Segal Marco Advisors

Proper plan oversight and operation is essential in maintaining governance on a governmental defined contribution plan. The most common form of plan governance involves the creation of a specialized board (or committee), usually appointed under the governing authority of the plan sponsor. This session is dedicated to assisting those that serve on or support a board or committee with their fiduciary and/or governance duties.

This session will explore the following:

- Committee membership and Board makeup
- Understanding fiduciary duty and responsibility
- Roles of the board versus roles of administrative staff
- Various board and sub-committee structures
- Investment management and plan design governance and oversight
- Analyze a plan sponsor who changed the roles of the governing board and the administrative staff and the lessons learned
- Utilizing board sub-committee structures
- Studying the best practice checklist to analyze the practices that strengthen and make plans grow and drive plan sponsor financial wellness



4:00 p.m. – 4:30 p.m.
Strand 5

Awards Committee Meeting

4:00 p.m. – 4:30 p.m.
Strand 6

Benchmarking Committee Meeting

4:30 p.m. – 5:00 p.m.
Strand 7

Legislative Committee Meeting

4:30 p.m. – 5:00 p.m.
Strand 8

Publications Committee Meeting

5:30 p.m. – 7:00 p.m.
Elite Hall, Level 1

President's Reception

MONDAY, SEPTEMBER 9

7:00 a.m. – 7:45 a.m.
Elite Hall, Level 1

Conversation Café

Join your colleagues for buffet breakfast, coffee, and informal networking.

7:00 a.m. – 4:00 p.m.
Empire Foyer

Registration Open

8:00 a.m. – 8:30 a.m.
Empire Ballroom A

Conference Opening and Welcome

8:30 a.m. – 9:30 a.m.
Empire Ballroom A

KEYNOTE SPEAKER



Matt Johnson, The Impossible Company

The Impossible Dream: How Storytelling Transforms Us

Technology, economics, politics, society: Every aspect of our world is changing faster than ever

before. And from multinational conglomerates to small boutiques, more organizations are at risk for failure than any point in history. We—companies, teams, and human beings—must change too. We know this. It's why we launch innovation programs, design change initiatives, and roll out new strategies. We all want to build a bridge to the future.

The good news: We know that we need to accelerate our ability to change. The bad news: Most of us are doing it wrong. Why? We've lost sight of the one thing that has consistently enabled humans to achieve the impossible. The thing that empowered us to build world wonders, land man on the moon, cure devastating

disease, and so much more. It's not technology, money, strategy, or any other external resource. To change our world, we need to change the stories we tell ourselves—the stories that shape who we are.

In this keynote, Matt reveals the transformative power of story. Leveraging his experience as a published author and corporate storyteller, Matt explains how storytelling can be your bridge to a brighter future. Whether you're an organization facing disruption, a team in conflict, or a person feeling lost in your career, Matt's case studies and exercises will introduce you to the tools you need to write (and live) your new story.

9:30 a.m. – 9:45 a.m.

Break

9:45 a.m. – 10:45 a.m.
Empire Ballroom A

Washington Update

Moderator: Cindy Rehmeier, MOSERS

Panelists: Paul Beddoe, NAGDCA
Marilyn Collister, Empower Retirement
Jeannine Markoe Raymond, NASRA

10:45 a.m. – 11:00 a.m.

Break

11:00 a.m. – 12:00 p.m.

CONCURRENT SESSIONS

Offered One Time Only

Empire Ballroom B

Equity Investment Options

Moderator: Mary Buonfiglio, State of North Carolina

Panelists: Timothy Kearney, Voya Financial
Shaun Murphy, LGIMA
Jed Petty, Wellington Management Co.
LLP

Equities can play a pivotal role in the long-term success of a retirement plan. This session will discuss what asset classes to include in a core lineup along with a review of available structures including mutual funds, collective investment trusts (CITs), and separate accounts.

This session will also include a review of current trends, best practices and other relevant topics including active versus passive investing, socially responsible screens such as ESG, alternative investments, private equity, and real estate.

Empire Ballroom C

Role of Fixed Income Investments in a Portfolio

Moderator: Jessica Sclafani, MFS Investment

Management

Panelists: Matthew Condos, Lincoln Financial Group
Charles Mansfield, ICMA-RC
Anmol Sinha, PIMCO

Fixed income investments can play several key roles in a portfolio from minimizing market volatility to creating income in retirement. The industry continues to focus on solving the decumulation phase of retirement savings.

This session will discuss various fixed investments including Money Market, Stable Value, Bonds, and Fixed Annuities along with the associated potential benefits, restrictions, and other things to consider. This session will also explore the active/passive debate, the benefits of diversifying your portfolio beyond core bonds, and how income from bonds can be leveraged in retirement.

Empire Ballroom D

Successful Communication Campaigns

Moderator: Una Morabito, MassMutual

Panelists: Lisa Kueng, Invesco
Shannon McCarthy, Nationwide
Brooke Rowden, MOSERS

The concept of retirement can be difficult for many participants to conceptualize because it is not a part of their immediate future. High competition for a participant's attention and the emerging focus on integrated experiences can make developing successful communications campaigns difficult.

In this session attendees will learn how to incorporate best practices in each of these spaces to enable successful campaigns that drive positive outcomes.

12:00 p.m. – 1:15 p.m.
Elite Hall, Level 1

Opening Luncheon

1:30 p.m. – 2:30 p.m.

CONCURRENT SESSIONS

Repeated on Tuesday afternoon

Empire Ballroom B

Fees and the RFP Process

Moderator: Nancy Hilu, Hanson Bridgett, LLP

Panelists: Raechell Dickinson, Gwinnett County
John Kendall, Nationwide
Andrew Ness, Mercer

The formal Request for Proposal (RFP) process can be a cumbersome and lengthy process that many plan sponsors dread, but it is an essential best practice

for plan fiduciaries to follow on a regular basis. Many aspects of the RFP process are crucial to successfully selecting a recordkeeper, attaining competitive value for services, and executing and maintaining a successful contract.

This session will explore the following considerations in developing and executing a successful RFP and resources that can be leveraged to assist in this process:

- Understanding procurement guidelines, laws, and processes, and leveraging in-house procurement professionals
- Reviewing contract terms and investment liquidity restrictions
- Hiring an outside plan consultant to assist with the process
- Understanding what recordkeepers are looking for and providing the necessary clarity to be able to drive a competitive and robust proposal
- Dissecting the RFP components and understanding valuable and essential data sets (e.g., project overview, scope of work, minimum qualifications, investment, administration, cost questionnaires)
- Developing a comprehensive RFP timeline
- Understanding the evaluation process and finalist interview presentations
- Understanding contract negotiations: What can be negotiated and fine-tuned
- Navigating through the transition process to a new recordkeeper from start to finish
- Developing an effective communication plan to trigger participant engagement
- Understanding the protest process and how to build processes to defend the outcome

Empire Ballroom C

How DB Plan Pressures Impact DC Plans

Moderator: Wendy Carter, Segal Consulting

Panelists: Keith Brainard, NASRA
Gary Schlimgen, University of California
Mike Welker, AndCo Consulting

For many, a key benefit of working in the public sector is the availability of a defined benefit plan that provides guaranteed monthly income in retirement. Across the country, many governmental plans are facing significant headwinds to sustain their defined benefit

plan, such as market volatility, inconsistent employer contributions, inappropriate benefit policies, etc.

This session will review:

- The current state of governmental defined benefit plans
- A case study on how effectively partnering a defined benefit plan and a defined contribution plan can provide an attractive employee recruiting and retention tool, and reduce retirement benefit costs
- The role defined contribution plans may play in the future including a review of the various types of hybrid plans

Empire Ballroom D

Leveraging Technology and Participant Tools

Moderator: Tina Gaither, Gwinnett County

Panelists: Amy Heyel, Voya Financial
Thomas Idzorek, Morningstar
Investment Management LLC
Heidi Munc, Nationwide

New technology continues to alter everything in our lives, including how participants track and manage their portfolios. As we age and the pace of our lives change, enhanced tools may become even more important in helping participants remain flexible and adaptive to change.

This session will explore:

- Trends in websites and mobile device tools and how they are being leveraged by participants
- How plans are leveraging data analytics efficiently to successfully improve participant outcomes
- How employers are leveraging technology to improve plan governance and oversight
- The role of cyber security as we leverage more sophisticated technologies

2:30 p.m. – 3:00 p.m.

Break

3:00 p.m. – 4:00 p.m.

GENERAL SESSION

Empire Ballroom A

Cyber Security

Moderator: Keith Overly, Ohio Deferred Compensation

Panelists: Kelvin Coleman, National Cyber Security Alliance
David Levine, Groom Law Group

Cyber security is simultaneously one of the most

pressing, and most confusing, topics in the defined contribution industry. Record keepers and asset managers must confront a global landscape of current and potential threats, while plans sponsors must understand how their industry partners are employing sound cyber security practices.

In this session, one of the nation's leading cyber security experts, Kelvin Coleman, Executive Director of the National Cyber Security Alliance, will give his assessment of the current state of this complex environment. He will be joined by one of the defined contribution industry's leading cyber security thinkers, David Levine of Groom Law Group, to distill the global picture down to what really matters to you. The result will be a session that bridges the gap between deep technical issues and practical solutions. It's something you certainly will not want to miss!

4:15 p.m. – 5:00 p.m.
Strand 4

Leadership Awards' Photos

TUESDAY, SEPTEMBER 10

6:00 a.m.
Hotel Lobby, Level 1

Theresa Cruz Myers 5K Run Participants Meet
Registered runners only

6:30 a.m.
Canal Place Riverfront

Theresa Cruz Myers 5K Run Begins
Registered runners only

7:30 a.m. – 4:00 p.m.
Empire Foyer

Registration Open

7:30 a.m. – 8:15 a.m.
Elite Hall, Level 1

Conversation Café
Join your colleagues for buffet breakfast, coffee, and informal networking.

8:15 a.m. – 9:15 a.m.
Empire Ballroom A

KEYNOTE SPEAKER



**Dr. Shlomo Benartzi,
Professor and Co-chair of
the Behavioral Decision-
Making Group at UCLA
Anderson School of
Management, and Senior
Academic Advisor for**

**the Voya Behavioral Finance Institute for
Innovation**

The Digital Fiduciary: Online Behavior and Retirement Success

In this talk, acclaimed behavioral economist Shlomo Benartzi will reveal how we can apply the latest behavioral economics research to help participants achieve a secure retirement. In the digital age, retirement security often depends more on fast decisions made on smartphones (and the designs that influence them) than on investment performance. Benartzi will outline a series of studies showing how improved digital design can dramatically enhance participant decision-making and boost financial security. For instance:

- Enhancing the design of an auto-enrollment screen can boost the share of workers who personalize their enrollment by 9 percentage points. Those who personalize have a savings rate that's twice as high as those who don't.
- Raising online suggested savings rates up to 10 percent doesn't decrease plan enrollment but does increase savings.
- Measures of online decision-making style are closely correlated with projected income replacement in retirement.
- Benartzi will conclude by offering seven actionable steps for plan sponsors and advisors. It's no longer enough to worry about investment oversight. We now have a duty to also focus on how our digital designs can shape the decisions of participants, and how better design can lead to better outcomes.

9:15 a.m. – 9:30 a.m.

Break

9:30 a.m. – 10:30 a.m.

SMALL GROUP DISCUSSIONS

Please check the bottom of your name badge for your group assignment.

Reflecting on the morning keynote presentation on behavioral economics, join your colleagues for a lively discuss on this and other hot topics. Don't miss out on this opportunity to connect with your peers, share best practices and learn from other's experiences.

Empire Ballroom C

Group 1

Moderator: Joe Carter, AndCo Consulting

Empire Ballroom D

Group 2

Moderator: Michael Consorte, SUNY

- Strand 10* **Group 3**
Moderator: Brayton Connard, County of Monroe
- Strand 11* **Group 4**
Moderator: Marty Flynn, Clark County Water Reclamation District
- Strand 12* **Group 5**
Moderator: Barbara Healy, NFP Retirement
- Bolden 6* **Group 6**
Moderator: Doug Miller, Suffolk County
- 10:30 a.m. – 10:45 a.m. **Break**
- 10:45 a.m. – 11:45 a.m. **GOVERNMENT BREAKOUT SESSIONS BY ASSET SIZE**
Government members only
- Bolden 5* **Session #1: \$100 million or less**
Moderator: Darlene Malaney, Palm Beach County Clerk and Comptroller
- Strand10B* **Session #2: \$101 million - \$250 million**
Moderator: Beverly Freeman, Public Utility District #1 of Chelan County
- Strand 10A* **Session #3: \$251 million - \$499 million**
Moderator: AJ Padilla, City of Austin, TX
- Bolden 6* **Session #4: \$500 million - \$999 million**
Moderator: Polly Scott, Wyoming Retirement System
- Strand 11* **Session #5: \$1 billion - \$3 billion**
Moderator: Beth Conradson Cleary, City of Milwaukee
- Strand 12* **Session #6: > \$3 billion**
Moderator: Thomas Stephens, Commonwealth of Kentucky
- 10:45 a.m. – 12:00 p.m. **Industry Members' Business Meeting**
Empire Ballroom B *Industry members only*
- 12:00 p.m. – 4:00 p.m. **GUEST PROGRAM**
City Tour & Cruise
Registered guests only
- 12:00 p.m. – 1:15 p.m. **Government Members' Lunch and Business Meeting**
Elite Hall, Level 1 *Government members only*

1:15 p.m. – 1:30 p.m.

Break

1:30 p.m. – 2:30 p.m.

Empire Ballroom A

GENERAL SESSION

Fiduciary Diligence, Best Practices, Lawsuits and Lessons Learned

Moderator: Melanie Walker, Segal Consulting

Panelists: Daniel Alexander, RetireAware
Marla Kreindler, Morgan Lewis

It is essential for plan sponsors to understand their fiduciary duties in the context of our industry's current landscape, including the potential for conflicts of interest, to achieve the best results for your plans and to anticipate concerns they may face in the future. Given the recent wave of litigation against ERISA defined contribution plan fiduciaries, lessons can be learned on how to scrutinize plan costs and provider services in order for their plans to remain competitive and attractive to their participants. This session is dedicated to educating attendees about the current state of fiduciary issues facing defined contribution plans.

Our panel of experts will discuss the following topics:

- Understanding fiduciary duties and responsibilities
- Best practices for public plan fiduciaries
- How litigation against ERISA-covered defined contribution plans is impacting our industry
- How to identify and address the potential for conflicts of interest
- How keeping plan costs down may be generating new risks for plan sponsors
- What fiduciaries can do to protect plan assets

2:30 p.m. – 3:00 p.m.

Break

3:00 p.m. – 4:00 p.m.

CONCURRENT SESSIONS

Repeated from Monday afternoon

Empire Ballroom B

Fees and the RFP Process

Moderator: Nancy Hilu, Hanson Bridgett, LLP

Panelists: Raechell Dickinson, Gwinnett County
John Kendall, Nationwide
Andrew Ness, Mercer

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Empire Ballroom C

How DB Plan Pressures Impact DC Plans

Moderator: Wendy Carter, Segal Consulting

Panelists: Keith Brainard, NASRA
Gary Schlimgen, University of California
Mike Welker, AndCo Consulting

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- The role defined contribution plans may play in the future including a review of the various types of hybrid plans

Empire Ballroom D

Leveraging Technology and Participant Tools

Moderator: Tina Gaither, Gwinnett County

Panelists: Amy Heyel, Voya Financial
Thomas Idzorek, Morningstar
Investment Management
Heidi Munc, Nationwide

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- The role of cyber security as we leverage more sophisticated technologies

6:00 p.m. – 9:00 p.m.
Generations Hall

OFF-SITE NETWORKING EVENT

NAGDCA in NOLA

Join your fellow NAGDCA members in the French Quarter for an authentic New Orleans experience! Enjoy dinner, drinks, and a live jazz band as you

network with your colleagues at Generations Hall, a venue offering a glimpse of an era long ago.

Originally built as a sugar mill in the 1820s, Generations Hall showcases artwork depicting the rich history of New Orleans jazz by prominent artists George Schmidt and Xavier de Callatay. You won't want to miss this truly unique NAGDCA event!

Buses will run on a continuous loop between Generations Hall and the Hyatt starting at 6:00 p.m. The last bus will depart the event venue at 9:00 p.m.

WEDNESDAY, SEPTEMBER 11

7:30 a.m. – 8:15 a.m.
Elite Hall, Level 1

Conversation Café

Join your colleagues for buffet breakfast, coffee, and informal networking.

7:30 a.m. – 10:30 a.m.
Empire Foyer

Registration Open

7:30 a.m. – 1:00 p.m.
Strand 3

Luggage Storage

7:30 a.m. – 8:15 a.m.
Strand 4

Annual Conference Focus Group

By invitation only

8:15 a.m. – 8:30 a.m.
Empire Ballroom A

Presentation of NAGDCA-Art Caple President's Award

8:30 a.m. – 9:30 a.m.
Empire Ballroom A

KEYNOTE SPEAKER



Captain AI Fuentes, Retired FDNY Soaring with Eagles

The story of 9/11 hero Captain AI Fuentes of the FDNY Marine Division. Captain Fuentes, now retired, was buried in the rubble of the World Trade Center for nearly two hours before being rescued by his fellow firefighters. Join us as Captain Fuentes shares his account of that fateful day in 2001. His story is one of sacrifice, survival and perseverance.

9:30 a.m. – 9:45 a.m.

Break

9:45 a.m. – 10:45 a.m.

CONCURRENT SESSIONS

Offered One Time Only

Empire Ballroom B

Asset Allocation Techniques during the Accumulation Phase

Moderator: Thomas Anichini, GuidedChoice

Panelists: Christopher Hamilton, Invesco
Jeremy Stempien, QMA, Prudential
Bridget Witzeman, Voya Financial

The first question most participants have once they elect to defer to their retirement via a defined contribution plan is, “Where should I put my money?” Studies have shown that over 90 percent of long-term investment returns are attributable to decisions about one’s asset allocation – NOT timing the market or stock picking. For many, this question is very daunting, and rightfully so. Asset allocation decisions can have nearly as much effect on the success of a plan as saving money in the first place. Taking on more risk requires some trade-offs but could be worth it.

This session will discuss the importance of:

- Adopting a core investment line-up that assists participants with making those decisions
- Establishing, measuring, and regularly evaluating a plan’s retirement glide path
- Evaluating fees versus performance
- Leveraging target date funds and/or asset allocation services to provide robust asset allocation for plan participants
- Managed Accounts and the rise of “Robo Advisors” and how they’re providing comprehensive financial planning tools for plan participants and their families

Empire Ballroom C

Financial Wellness

Moderator: Joe Saari, Financial Fitness Group

Panelists: Jennifer Harmer, Lincoln Financial Group
Kenje Mallot, Alight Solutions
Polly Scott, Wyoming Retirement System

Historically, wellness was comprised solely of physical health and plan sponsors focused on helping employees improve their physical numbers (i.e., blood

pressure, glucose and cholesterol). Today, a more holistic approach to wellness exists which includes financial health. For many, money is the biggest stress in their lives and impacts every part of their health – mind, body and spirit. Plan sponsors and recordkeepers are now striving to focus on employees' overall financial health through measures such as targeted communication campaigns and offering financial wellness scores.

This session will focus on:

- Plan level monitoring of plan health
- Incorporating financial wellness into overall wellness program
- Financial education for all stages of employee life (e.g. new regulations for student loan match option)

Empire Ballroom D

Retaining Retirees and Those Nearing Retirement

Moderator: Davetta Lee, State of Mississippi

Panelists: Kathryn Berkenpas, ICMA-RC
Andrea Needham, The Vanguard Group
Gordon Tewell, Innovest Portfolio Solutions

Participants have spent decades preparing for the much-anticipated day they can retire. As that day approaches, participants typically experience anxiety on how to navigate the decision-making process to ensure a successful retirement. As a plan sponsor, retiree assets may represent a significant portion of plan assets and retaining those assets generally helps the plan as well as the participant. This session will provide guidance on helping participants navigate this phase of their lives.

This session will address:

- How to holistically view defined contribution assets with pension benefits (if available) including draw down strategies, DROP strategies, retirement income products and service.
- How to create successful targeted marketing campaigns and how data analytics can play a valuable role in successful campaigns.
- Pre-retirement education via targeted campaigns and workshop.
- How Social Security benefits, claiming decisions and health care costs impact most retirees.

- How to keep retirees engaged after separation of service via retiree events.
- How fund houses and local brokers leverage their products and services to roll-over defined contribution assets to individual retirement accounts and other products.

10:45 a.m. – 11:00 a.m. **Break**

11:00 a.m. – 12:00 p.m. **CLOSING SESSION**

Empire Ballroom A

Industry Innovations

Moderator: Jeffrey Snyder, The Morning Pulse

Panelists: Josh Cohen, PGIM, The Investment Management Business of Prudential
David Ireland, State Street Global Advisors
Dan Morrison, Empower Retirement
Jake O'Shaughnessy, SageView Advisory Group

Where will the defined contribution industry be in 2025? The closing session of the conference will feature a panel of leaders in the industry sharing their forecasts and discussing innovative technologies, communication strategies, products, investments and more.

12:00 p.m. – 1:00 p.m.
Strand 4

2020 Annual Conference Committee Meeting

NAGDCA THANKS OUR 2019 ANNUAL CONFERENCE SPONSORS!

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