

2009 NAGDCA Annual Conference
September 12 - 16, 2009
Hilton Austin

All meetings and events will be held at the Hilton Austin Hotel unless otherwise indicated.

Please be aware, if you are seeking continuing education credits, you must sign-in and sign-out of each session. Sign-up up sheets will be available outside of each session.

Located in the Austin Grand Ballroom Foyer of the Hilton Austin Hotel (unless otherwise noted on agenda):

- **NAGDCA Conference Registration**
- **ANC Foundation Silent Auction**
- **InFRE Booth**
- **IRS Outreach/Communications Booth**
- **1k/5k Walk/Run Information Table**
- **National Save for Retirement Week Display Table**
- **Refreshments/Continental Breakfasts/Coffee Breaks**
- **Austin Concierge Table (CVB)**
- **Philadelphia Concierge Table (CVB) – Wednesday only**

Saturday, September 12

8:30 a.m. – 11:00 a.m.
Board Room 401

NAGDCA Executive Board Meeting

10:00 a.m. – 3:00 p.m.
Austin Grand Ballroom
Foyer

Fun Run/Walk Information Table
Sponsored by T. Rowe Price

11:00 a.m. – 12:00 p.m.
Board Room 401

ANC Foundation Board Meeting

12:00 p.m. – 5:00 p.m.
Austin Grand Ballroom
Foyer

Registration/ ANC Foundation Silent Auction Opens

1:00 p.m. – 4:30 p.m.

Community Service Project (Sign-up Required)
Sponsored by Nationwide Retirement Solutions Inc.
Back by popular demand! All NAGDCA Conference attendees are invited to participate in the pre-conference, volunteer community service project, hosted by Nationwide Retirement Solutions. **YOU ARE ENCOURAGED TO JOIN US** as we roll up our sleeves and leave a positive, lasting mark in our host community of Austin. We are still finalizing our plans and more details will follow. We look forward to sharing a spirit of community service through camaraderie. Meet in the hotel lobby at 1:00 p.m. and we will return to the hotel around 4:30 p.m.

Sign Up by Contacting Arnisha Simley at Nationwide Retirement Solutions at 614-435-4199 or SIMLEYA1@nationwide.com

Sunday, September 13

8:00 a.m. – 5:30 p.m.
Austin Grand Ballroom
Foyer

Registration / ANC Foundation Silent Auction

8:00 a.m. – 3:00 p.m.
Austin Grand Ballroom
Foyer

Fun Run/Walk Information Table

8:00 a.m. – 12:30 p.m.
Meeting Room 602

Cyber Café and Message Center *Sponsored by Fidelity Investments*

8:00 a.m. – 12:30 p.m.
Austin Grand Ballroom
Foyer

Austin Convention and Visitors Bureau (Austin CVB) Information Table

8:00 a.m. – 12:00 p.m.
Salon F

InFRE Pre-Conference Workshop **Managing Retirement Income: *What Your Employees, Family, Friends and Self Need to Know***

Many of your employees are part of the 78 million baby boomers quickly approaching retirement. Will they be able to make informed decisions about when to retire and how to manage, protect and distribute the savings they have in your employer-sponsored retirement plan? Join us for the newly revised professional retirement income management education course attended by over 10,000 professionals nationwide that will help you understand why and how you need to help your pre-retirees transition from accumulating assets to one of the most important skills they will ever need to know: how to make their retirement savings last a lifetime.

Workshop Presenter: Kevin Seibert, CFP®, CEBS®, CRC®, Managing Director, International Foundation for Retirement Education (InFRE)

11:00 a.m. – 11:45 a.m.
Salon G

NAGDCA: An Environment for Learning

This session will help you get the most out of the 2009 conference and show how NAGDCA can help you throughout the year. The session will include an overview of the organization and its leadership, its evolution and growth, the services it offers members, and current special projects. It will include an overview of the conference schedule, “first-timer tips,” and more; it is particularly important for first-time delegates and newcomers to the industry.

Featured Speakers: Tom Mueller, Sanitation Districts of Los Angeles County (CA), NAGDCA Member-at-Large; Alex Turner, State of Arizona, NAGDCA President; Kurt Walten, National Association of Real Estate Investment Trusts, Inc., NAGDCA Industry Vice President

12:15 p.m. – 4:00 p.m.

Social Event (Sign-up Required) *Sponsored by Prudential Retirement*

4:30 p.m. – 5:00 p.m.
Salon G

Student / Mentor Reception (Students, mentors, financial planning program directors, and Board members) *Sponsored by InFRE*

5:00 p.m. – 5:30 p.m.
Salon G

Student Orientation (Students and designated NAGDCA members only)

Student Program Sponsored by Wells Fargo

NAGDCA has again invited university students interested in deferred compensation to participate in the annual conference. This conference session will help orient the student attendees, and give them an opportunity to meet with their paired NAGDCA

associate for the conference. This session will also include an overview of the organization, its evolution and growth, the services it offers members, an overview of the conference schedule, and tips for students to get the most out of the conference experience.

Moderator: Theresa Myers, Great-West Retirement

Featured Speakers: Brenda Anderson, Nationwide Retirement Solutions, Inc.; Della Williamson, Retired, Formerly with the State of Texas, Betty Meredith, InFRE

6:00 p.m. – 7:30 p.m.
Governor's Ballroom

President's Reception

Sponsored by Nationwide Retirement Solutions, Inc.

Monday, September 14

6:15 a.m.
Hotel Lobby

1 & 5k Fun Run/Walk Participants Meet

6:30 a.m.
Depart from Hotel Lobby

1 & 5k Fun Run/Walk

Sponsored by T. Rowe Price

8:00 a.m. – 4:00 p.m.
Austin Grand Ballroom
Foyer

Registration / ANC Foundation Silent Auction

8:00 a.m. – 4:00 p.m.
Meeting Room 602

Cyber Café and Message Center

Sponsored by Fidelity Investments

8:00 a.m. – 4:00 p.m.
Austin Grand Ballroom
Foyer

Austin CVB Information Table

8:00 a.m. – 8:45 a.m.
Austin Grand Ballroom
Foyer

Continental Breakfast

8:45 a.m. – 9:30 a.m.
Salon H

Conference Opening and Welcome

9:30 a.m. – 10:30 a.m.
Salon H

General Session: Nanotechnology – The Future and the Economy

Many have described nanotechnology as the next Industrial Revolution. Numerous nanotechnology based consumer products are here now. In the future, nanotechnology will touch every facet of our lives. This informative keynote address will provide insight into its research and development, business and educational partnerships, practical consumer products and systems, and the impact that these developments will have on the economy. It may not be retirement planning or administration but the development of nanotechnology and its impact on the economy will play a larger role in retirement security than one might expect.

Featured Speaker - Alain Kaloyeros, Ph. D., Senior Vice President, Chief Executive Officer, Professor, College of Nanoscale Science and Engineering, University at Albany

10:30 a.m. – 11:00 a.m.
Austin Grand Ballroom
Foyer

Coffee Break

Sponsored by VALIC

11:00 a.m. – 12:00 p.m.
Salon H

General Session: The Washington Report: Retirement Security and Individual Responsibility

NAGDCA Legislative Counsel and others will recap where pension legislation is today and discuss what NAGDCA members can expect in the immediate future in regard to legislative action. In addition, the panelists will also discuss new proposals on the horizon that might affect NAGDCA members and their plans.

Moderator: Mindy Harris, Multnomah County (OR), NAGDCA Past President and Legislative Committee Chair

Featured Speakers: Rod Crane, TIAA-CREF; Jerry Golden, Nationwide Retirement Solutions; Susan White, NAGDCA Legislative Counsel

12:00 p.m. – 12:15 p.m.

Break

12:15 p.m. – 1:45 p.m.
Governor's Ballroom

Opening Luncheon and Entertainment
Sponsored by ING

1:45 p.m. – 2:15 p.m.

Break

2:15 p.m. – 3:45 p.m.

“Breakout Sessions” – Government Member Sessions

Meeting Room 400/402

Session #1: \$100 million or less
Facilitator: Kandi Winters, State of Florida

Salon F

Session #2: \$101 million - \$250 million
Facilitator: Tom Mueller, Sanitation Districts of Los Angeles County (CA)

Salon G

Session #3: \$251 million - \$499 million
Sherry Mose, City of Houston (TX)

Salon J

Session #4: \$500 million - \$999 million
Facilitator: Robert Sharp, CalPERS

Salon D

Session #5: \$1 billion or more
Facilitator: Craige Stone, State of Utah

2:15 p.m. – 3:45 p.m.
Salon K

Industry Member Annual Meeting

6:00 p.m. – 7:30 p.m.
Governor's Ballroom

Reception
Sponsored by Great-West Retirement Services

Tuesday, September 15

7:30 a.m. – 8:15 a.m.
Austin Grand Ballroom
Foyer

Continental Breakfast

7:30 a.m. – 10:30 a.m.
Austin Grand Ballroom
Foyer

ANC Foundation Silent Auction

7:30 a.m. – 1:00 p.m.
Austin Grand Ballroom
Foyer

Registration

7:30 a.m. – 1:00 p.m.
Austin Grand Ballroom
Foyer

Austin CVB Information Table

7:30 a.m. – 1:00 p.m.
Meeting Room 602

Cyber Café and Message Center *Sponsored by Fidelity Investments*

8:30 a.m. – 10:00 a.m.
Salon H

General Session: Investments in the Current Market Environment

These are challenging times for defined contribution plans. Among other concerns, plan assets and participant account balances have declined precipitously. This session will address what plan sponsors should be considering in the midst of current market volatility to improve retirement income outcomes for participants.

Moderator: John Barry, State of Maryland

Featured Speakers: Kamila Kowalke, Dow Jones Indexes; James Sia, Wellington Management Company, LLP

10:00 a.m. – 10:15 a.m.
Austin Grand Ballroom
Foyer

Coffee Break *Sponsored by Hilton Austin*

10:15 a.m. – 11:30 a.m.

Concurrent Sessions

Salon F

Topic #1: Stable Value Funds

Stable Value funds have been one of the top performing assets and have received significant cash in-flows during 2008 and 2009. No investment option is without risk and the financial crisis has heightened those risks. Participants, plan sponsors, managers, regulators have noticed. This session will focus on questions and considerations to ask when selecting a stable value fund provider, returns past and present, and hopes for the future.

Moderator: Michael Halpin, State of Maryland

Featured Speakers: David Fischer, State of New York; Bruce Goode, Goode Investment Management, Inc.; Keith Hocter, Bellwether Consulting

Salon G

Topic #2: Fees - Revenue, Expenses and Disclosure

Retirement plan expenses and revenue sources have been under scrutiny by the federal government and popular media. It is important that plan sponsors be fully aware of costs of administering their plans and the revenue sources that pay for services. This session will focus on the responsibility of plan sponsors to evaluate plan fees, expenses and best practices of disclosure. Revenue sharing arrangements, investment management fees and expenses and to whom and how these commissions are paid will also be discussed. This session will also explain how revenue can be used to cover the actual plan administration expenses and/or distributed back to participants.

Moderator: Jayson Davidson, Hyas Group, LLC

Featured Speakers: Andrew Ness, Mercer Investment Consulting; Kandi Winters, State of Florida; Edward Zagajeski, NY Metropolitan Transportation Authority

Salon J

Topic #3: Increasing Participation: Auto Enrollment, Matches and Communications

It is more important than ever to make sure all of your employees are saving towards meeting their retirement goals. This session will focus on popular options for increasing enrollment for non-participating employees: auto enrollment, employer matching and educational communications. Examples of public sector plans using auto enrollment, plans using employer matching and education communication plans that have been effective will be presented.

Moderator: Anthony Ross, City of Austin (TX)

Featured Speakers: Ronald Campana, ING; Alex Hannah, ICMA-RC; Rick Rodgers, Innovest Portfolio Solutions

Salon K

Topic #4: Hardships and Loans: Dealing with Increased Foreclosures and Evictions

The downturn in the economy and its impact on public employees may increase applications for unforeseeable emergencies and hardship withdrawals from plan participants. This session will provide an overview of the regulations governing unforeseeable emergency and hardship withdrawals. There will be a particular emphasis on foreclosures and evictions, their eligibility for a distribution, and how to handle repeat applications for the same emergency or hardship.

Featured Speaker: Micky Thomas, IRS

Meeting Room 400/402

Topic #5: Defined Contribution Concepts for New Members

Many responsibilities fall to the members of a committee or board and plan administrators of a public employer sponsored defined contribution plan. This session will focus on the fiduciary responsibilities of a committee or board members and plan administrators, the administration of defined contribution plans, the procurement responsibilities in selecting plan providers and investment options, and other important issues.

Moderator: Russell Nash, City of Austin (TX)

Featured Speakers: Matt Gnabasik, Blue Prairie Group; Valentine Link, PFM Group; Julian Regan, Marco Consulting Group

10:30 a.m.

ANC Foundation Silent Auction Closes

11:30 a.m. – 11:45 a.m.

Coffee Break

11:45 a.m. – 1:00 p.m.

Repeat of Concurrent Sessions

Topic #1: Stable Value Funds

Topic #2: Fees - Revenue, Expenses and Disclosure

Topic #3: Increasing Participation: Auto Enrollment, Matches and Communications

Topic #4: Hardships and Loans: Dealing with Increased Foreclosures and Evictions in These Hard Times

Topic #5: Defined Contribution Concepts for New Members

2:00 p.m. – 5:00 p.m.

Social Event (Sign-up Required)

Depart from Hotel Lobby

Sponsored by ICMA-RC

Wednesday, September 16

7:45 a.m. – 12:00 p.m.

Registration

Austin Grand Ballroom

Foyer

7:45 a.m. – 1:00 p.m.
Meeting Room 602

Cyber Café and Message Center
Sponsored by Fidelity Investments

7:45 a.m. – 4:30 p.m.
Austin Grand Ballroom
Foyer

Philadelphia Convention and Visitors Bureau Table
(Site of 2010 Annual Conference)

7:45 a.m. – 8:30 a.m.
Salon A,B,C

Continental Breakfast – Recognition of ANC Foundation Donors (Open to all)

8:45 a.m. – 10:00 a.m.

Concurrent Sessions

Salon F

Topic #6: Fiduciary Issues for New Members

As a plan fiduciary, people depend on you to make good decisions that will have an impact on their retirement. In order to make informed decisions you need a basic understanding of the various aspects of retirement plan design and management. This session will focus on understanding basic legal requirements, retirement plan design, investing, plan administration, education and cost.

Moderator: Sherry Mose, City of Houston (TX)

Featured Speakers: Vincent Galindo, Arnerich Massena & Associates; Edward Zagajeski, NY Metropolitan Transportation Authority

Salon G

Topic #7: Qualified Default Investment Alternative: Lessons Learned

Since the enactment of the Pension Protection Act of 2006 (PPA) and release of associated Department of Labor investment regulations, plan sponsors have been implementing or giving consideration to Qualified Default Investment Alternatives (“QDIAs”). This session will focus on “best practices” for plan sponsors in terms of implementing QDIAs.

Moderator: Richard Davies, AllianceBernstein

Featured Speakers: Scott Donaldson, Vanguard; James Lauder, Global Index Advisors, Inc.; Craig Stone, State of Utah

Salon J

Topic #8: Defined Benefit/ Defined Contribution Interrelationships

Governmental employees frequently participate in traditional pension (defined benefit) plans as well as 457 supplemental deferred compensation and/or 403(b), or 401(k) (defined contribution) plans. This session will explore the “state of the art” in interrelationships between those plans, including common administration and issues, purchase of DB service credits, DROP plans, and the integration of participant communication, education and outreach.

Moderator: Alex Roitz, Advised Assets Group

Featured Speakers: Cathie Eitelberg, The Segal Company; Kurt Weber, State of New Mexico

Salon K

Topic #9: Privacy and Security

Ensuring that participant information is secure is more challenging each year and takes on greater relevance with today’s economic turmoil. This session will explore the best practices that should be followed by providers and plan

administrators with respect to participant privacy, to ensure that information is securely but readily available, the role of email archiving and document retention time periods, and an overview of the regulations, laws, and reporting requirements plan providers are required to follow.

Moderator: Doug Miller, Suffolk County (NY)

Featured Speakers: Steve Farley, Nationwide Retirement Solutions; Richard Girardo, The Segal Company; Doug Miller, Suffolk County (NY)

Meeting Room 400/402

Topic #10: 403(b) Issues

The administration of 403(b) plans has entered a whole new landscape with the recently enacted IRS Rules and Regulations that will have a direct impact on the administrative responsibilities of employers. This session will highlight the most important features of those regulations, especially the development of a plan document, the necessity of information sharing agreements, and procedure to be followed in the elimination of providers. Special attention will also be given to the impacts that these new regulations will have on employees and retirees.

Moderator: Brian McCleave, Prudential Retirement

Featured Speakers: Mike Cochran, TCG Consulting, LP; Julia Durand, CalSTRS; Jamie Ohl, The Hartford

10:00 a.m. – 10:15 a.m.
Austin Grand Ballroom
Foyer

Coffee Break

Sponsored by Wellington Management Company, LLP

10:15 a.m. – 11:45 a.m.
Salon H

General Session: IRS Update – Activities and Regulations

This session will feature IRS representatives to discuss recently issued (or soon to be released) regulations pertaining to defined contribution plans.

Moderator: Regina Hilbert, Retired, Formerly with Suffolk County (NY)

Featured Speakers: Bob Architect, IRS; Marilyn Collister, Great-West Retirement Services; Cheryl Press, IRS

12:00 p.m. – 1:30 p.m.
Salon A - C

**Government Member Annual Business Meeting
and Luncheon**

12:00 p.m.
Depart from Hotel Lobby

Guest Program (Advance Sign-up Required)

1:45 p.m. – 3:00 p.m.

Repeat of Concurrent Sessions

Topic #6: Fiduciary Issues for New Members

**Topic #7: Qualified Default Investment Alternative: Lessons
Learned**

**Topic #8: Defined Benefit/ Defined Contribution
Interrelationships**

Topic #9: Privacy and Security

Topic #10: 403(b) Issues

3:00 p.m. – 3:15 p.m.

Break

3:15 p.m. – 4:30 p.m.
Salon H

**Closing Session - Plan Retirees: The Next Horizon of
Defined Contribution Plan Administration**

As the “baby boom” generation begins entering retirement in increasing numbers, there has been an increased focus among defined contribution plans on the “de-accumulation phase” - economics of retirement and the distribution planning. Whereas the emphasis of plan sponsors has traditionally been one of encouraging employees to accumulate sufficient assets for retirement, plan sponsors are spending increasing time contending with the issues of employees transitioning into retirement, an inherently more difficult task. This session will address what plan sponsors can do to better prepare participants for retirement.

Moderator: Ralph Marsh, City of Houston (TX)

Featured Speakers: Stacy Schaus, PIMCO; Ann Fuelberg, State of Texas

6:30 p.m. – 7:30 p.m.
Salon H

Awards and Final Night Reception

Co-Sponsored by The Hartford and NAGDCA

7:30 p.m. – 10:00 p.m.
Salon H

Final Night Dinner

Entertainment Sponsored by TIAA-CREF